

06-19-00

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## UTILITY PATENT APPLICATION TRANSMITTAL

Submit an original and a duplicate for fee processing  
(Only for new nonprovisional applications under 37 CFR 1.53(b))

## ADDRESS TO:

Assistant Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231

Attorney Docket No. 99,395-B  
First Named Inventor Richard M. Lawn  
Express Mail No. EL028731393US  
Total Pages

## APPLICATION ELEMENTS

1. ☒ Transmittal Form
2. ☒ Specification (including claims and abstract) [Total Pages 116]
3. ☒ Drawings [Total Sheets 13]
4. ☐ Oath or Declaration [Total Pages ]
  - a. ☐ Newly executed
  - b. ☐ Copy from prior application
  - i. ☐ **[Note Boxes 5 and 17 below]**  
Deletion of Inventor(s) Signed statement attached deleting inventor(s) named in the prior application
5. ☐ Incorporation by Reference: The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6. ☐ Microfiche Computer Program
7. ☒ Nucleotide and/or Amino Acid Sequence Submission
  - a. ☒ Computer Readable Copy
  - b. ☒ Paper Copy
  - c. ☒ Statement verifying above copies

## ACCOMPANYING APPLICATION PARTS

8. ☐ Assignment Papers
9. ☐ Power of Attorney
10. ☐ English Translation Document (if applicable)
11. ☐ Information Disclosure Statement (IDS)
  - ☐ PTO-1449 Form
  - ☐ Copies of IDS Citations
12. ☐ Preliminary Amendment
13. ☒ Return Receipt Postcard (Should be specifically itemized)
14. ☐ Small Entity Statement(s)
  - ☐ Enclosed
  - ☐ Statement filed in prior application; status still proper and desired
15. ☐ Certified Copy of Priority Document(s)
16. ☒ Other: Certificate of Express Mail

17. This Application claims priority to U.S. Provisional Application Serial No. 60/140,264 filed June 18, 1999 and U.S. Provisional Application Serial No. 60/153,872 filed August 14, 1999 and U.S. Provisional Application Serial No. 60/166,573 filed November 19, 1999.

## APPLICATION FEES

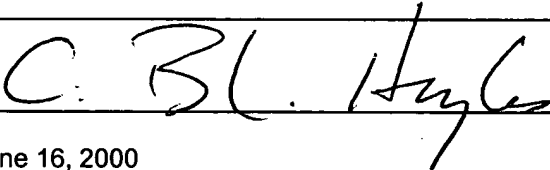
APPLICATION FEES				
BASIC FEE				\$690.00
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	
Total Claims	-20=		x \$18.00	\$
Independent Claims	- 3=		x \$78.00	\$
<input type="checkbox"/> Multiple Dependent Claims(s) if applicable				+\$270.00 \$
Total of above calculations =				\$
Reduction by 50% for filing by small entity =				\$( )
<input type="checkbox"/> Assignment fee if applicable				+ \$40.00 \$
TOTAL =				\$

## UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket No. 99,395-B

18. ☐ Please charge my Deposit Account No. 13-2490 in the amount of \$ .
19. ☐ A check in the amount of \$ is enclosed.
20. The Commissioner is hereby authorized to credit overpayments or charge any additional fees of the following types to Deposit Account No. 13-2490:
- a. ☐ Fees required under 37 CFR 1.16.
  - b. ☐ Fees required under 37 CFR 1.17.
  - c. ☐ Fees required under 37 CFR 1.18.
21. ☒ The Commissioner is hereby generally authorized under 37 CFR 1.136(a)(3) to treat any future reply in this or any related application filed pursuant to 37 CFR 1.53 requiring an extension of time as incorporating a request therefor, and the Commissioner is hereby specifically authorized to charge Deposit Account No. 13-2490 for any fee that may be due in connection with such a request for an extension of time.

## 22. CORRESPONDENCE ADDRESS

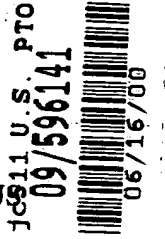
Name	McDonnell Boehnen Hulbert & Berghoff
Address	32 <sup>nd</sup> Floor, 300 South Wacker Drive
City, State, Zip	Chicago, Illinois 60606
23. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED	
Name	A. Blair Hughes, Reg. No. 32,901
Signature	
Date	June 16, 2000

UTILITY (Rev. 11/18/97)

**CERTIFICATE OF MAILING BY "EXPRESS MAIL"  
(NEW PATENT APPLICATION)**

Express Mail No. EL028731393U

Deposited June 16, 2000



I hereby certify that the attached correspondence, identified below, is being deposited with the United States Postal Service as "Express Mail Post Office to Addressee" under 37 CFR § 1.10 on the date indicated above and is addressed to the Asst. Commissioner for Patents, Box Patent Application, Washington, DC 20231.

By: \_\_\_\_\_

(person actually depositing)

Patent Application of: Richard M. Lawn et al

Title: Compositions and Methods for Increasing Cholesterol Efflux and Raising HDL using ATP

Binding Cassette Transporter ABC1

☒ Patent Application ( 116 pages, including claims)

☒ Drawings (13 sheets)

☒ Postcard

☒ Utility Patent Application Transmittal

☐ Check

☒ Statement that Paper and Electronic Copy of Sequence Listing are the Same

☒ Other: Paper Copy of Sequence Listing and Computer Readable Copy of Sequence Listing

Attorney Docket No.: 99,395-B

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
(Case No. 99,395-B)

PATENT

In re Application of:

Lawn et al.

Serial No.:

Filed:

For: Compositions and Methods for Increasing  
Cholesterol Efflux and Raising HDL Using ATP  
Binding Cassette Transporter Protein ABC1

Art Unit:



Asst. Commissioner for Patents  
Washington, D.C. 20231

**STATEMENT THAT PAPER AND ELECTRONIC COPY  
OF SEQUENCE LISTINGS ARE THE SAME**

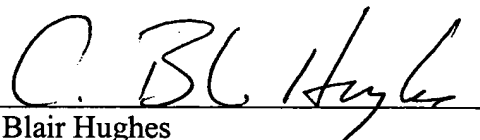
Sir:

Enclosed is a computer readable form of the sequence listings on a 3.5 inch floppy disk. The undersigned hereby states that the computer readable form is the same as the printed version herein submitted.

Respectfully submitted,

Date: June 16, 2000

By:

  
A. Blair Hughes  
U.S. Reg. No. 32,901